1	Combined effects of warming and hypoxia on early life stage Chinook salmon physiology and
2	development
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12	Key words: Chinook salmon, hypoxia, climate change, temperature, developmental physiology
13	Lay summary (50 words): Salmonids are particularly susceptible to warming and hypoxia during
14	development in redds. We reared Chinook salmon embryos and larvae under chronic warming
15	and hypoxia to evaluate the effects of each stressor individually and their interaction. Warming
16	and hypoxia affected survival, physiological performance and development with management
17	implications for salmon conservation.
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20 Abstract

21 Early life stages of salmonids are particularly vulnerable to warming and hypoxia, which are 22 common stressors in hyporheic, gravel bed, rearing habitat (i.e. a 'redd'). With the progression of 23 global climate change, high temperatures and hypoxia may co-occur more frequently within redds, particularly for salmonid species at their southern range limit. Warming and hypoxia have 24 25 competing effects on energy supply and demand, which can be detrimental to energy-limited 26 early life stages. We examined how elevated temperature and hypoxia as individual and combined stressors affected the survival, physiological performance, growth, and development 27 28 of Chinook salmon (Oncorhynchus tshawytscha). We reared late fall-run Chinook salmon from 29 fertilization to the fry stage in a fully factorial design of two temperatures [10°C (ambient) and 14°C (warm)] and two oxygen levels [normoxia (100% air saturation, 10 mg O₂/l) and hypoxia 30 31 (50% saturation, 5.5 mg O₂/l)]. Rearing in hypoxia significantly reduced hatching success, 32 especially in combination with warming. Both warming and hypoxia improved acute thermal tolerance. While rearing in hypoxia improved tolerance to acute hypoxia stress, warming reduced 33 hypoxia tolerance. Hypoxia-reared fish were smaller at hatch, but were able to reach similar sizes 34 35 to the normoxia-reared fish by the fry stage. High temperature and normoxia resulted in the 36 fastest rate of development while low temperature and hypoxia resulted in the slowest rate of development. Despite improved physiological tolerance to acute heat and hypoxia stress, 37 hypoxia-reared embryos had reduced survival and growth, which could have larger population-38 39 level effects. These results suggest that both warming and hypoxia are important factors to address in conservation strategies for Chinook salmon. 40

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43 Introduction

44 Increasing water temperatures resulting from climate change are predicted to be 45 problematic for numerous species, particularly for fishes such as Pacific salmonids, which 46 require cool, flowing, highly oxygenated water (Moyle, 2002). The Central Valley watershed of California supports the southernmost populations of Chinook salmon (Oncorhynchus 47 48 tshawytscha), and is projected to see large, consistent temperature increases nearing 5°C this 49 century (Hayhoe et al., 2004; Dettinger, 2005). In addition to warming, hypoxia (low dissolved oxygen [DO] in the environment) is rapidly becoming more prevalent globally because of 50 51 climate change and anthropogenic influences, such as eutrophication from agriculture and 52 sewage runoff (Diaz, 2001; Diaz and Rosenberg, 2008; Breitburg et al., 2018). Warming and hypoxia are likely to co-occur, and oxygen is less soluble in warmer water (Keeling et al., 2010; 53 54 Helm et al., 2011). In California, the effects of climate change have been exacerbated by prolonged drought as warming and low water flows increase water temperatures and thus the 55 potential for hypoxia to occur (Hanak et al., 2015). While the effects of each stressor on animal 56 physiology have been studied in depth individually, there is a greater need to study the 57 interaction between the two stressors in environmentally relevant scenarios (Crain *et al.*, 2008; 58 59 Todgham and Stillman, 2013; Gunderson et al., 2016).

Both warming and hypoxia are common stressors within the microhabitat of salmon
redds, the gravel nests where embryos and larvae develop within the streambed. Temperature
and DO within redds are influenced by numerous abiotic and biotic factors including intragravel
flow velocity, sedimentation, gravel size, groundwater upwelling, and oxygen consumption by
developing embryos or other organic matter present (Acornley, 1999; Greig *et al.*, 2007a; Sear *et al.*, 2014). Hypoxia within redds has been correlated with detrimental effects on survival and

growth of developing salmonids in natural streams (Rubin and Glimsäter, 1996; Youngson *et al.*,
2004; Greig *et al.*, 2007b). The combination of warming and low DO as a result of low water
flows is thought to have reduced the thermal tolerance, and thus survival, of Chinook salmon
embryos in the Sacramento River (Martin *et al.*, 2017).

From a physiological perspective, warming and hypoxia are likely to interact through 70 71 contrasting effects on energy metabolism. Temperature is a controlling factor that determines 72 metabolic rates in ectotherms, whereas oxygen is a limiting factor that restricts metabolic rate (Fry, 1971). Therefore, as warming increases metabolism, hypoxia limits the oxygen supply 73 74 available to support increased metabolic demand (McBryan et al., 2013). The concept of oxygen 75 and capacity limitation of thermal tolerance (OCLTT) hypothesizes that the mismatch in oxygen supply and demand can reduce thermal tolerance and affect the physiology and ecology of many 76 77 species (Pörtner, 2001; Pörtner, 2002). The OCLTT hypothesis predicts temperature and oxygen will interact negatively to influence stress tolerance such that exposure to high temperature is 78 expected to reduce hypoxia tolerance and hypoxia is expected to reduce thermal tolerance 79 (McBryan et al., 2013). 80

Early life stages of Chinook salmon are particularly sensitive to both warming and 81 82 hypoxia, as embryos and alevins are the least thermally tolerant life stages and have little to no mobility to avoid suboptimal habitat conditions (McCullough, 1999; Myrick and Cech, 2004). 83 Embryos of oviparous fish such as salmonids have stronger energy constraints than older 84 organisms because they possess a finite amount of energy in the form of yolk to support their 85 development (Rombough, 2006). Under optimal conditions during development, the majority of 86 energy is allocated towards growth. When energy supply or demand is altered, as with warming 87 or hypoxia, there is increased competition for energy between coping with stress and continued 88

growth and development (Sokolova, 2013). With a limited ability to increase aerobic metabolic
rate above routine levels, compensatory energy partitioning may detract energy from processes
necessary for development (Rombough, 2011). Therefore, the metabolic interactions between
warming and hypoxia may be especially detrimental during early development.

Developing salmon are known to be sensitive to warming and hypoxia individually but 93 94 are likely to experience both stressors simultaneously in their rearing environment, especially as climate change progresses and local anthropogenic impacts (e.g. drought) persist. In this study, 95 we assessed the effects of chronic warming and hypoxia, on developing late fall-run Chinook 96 97 salmon, as individual and combined stressors. We reared salmon from fertilization through the fry stage in a fully factorial design of two temperatures (10 and 14°C) and two oxygen levels 98 (100 and 50% air saturation). Throughout development we measured hatching success, growth, 99 100 and developmental rate as well as tolerance to acute thermal and hypoxic stress to examine the 101 lethal and sublethal responses to rearing in each treatment. We predicted that there would be detrimental effects of warming and hypoxia as individual stressors that would be amplified 102 103 through synergistic interactions in the multiple stressor treatment due to competing effects on 104 balancing energy supply and demand. Examining the effects of two key stressors across salmon 105 development will further our understanding of the capacity of early life stage salmonids to cope with multiple stressors in their natural environment and how we can better promote their survival 106 in a complex environment through water management. 107

108

109 Materials and Methods

110 Fish acquisition and care

111 Freshly fertilized late fall-run Chinook salmon embryos were obtained from four separate 112 breeding pairs spawned at the Coleman National Fish Hatchery (US Fish and Wildlife Service, Anderson, CA). Embryos were transported to the University of California Davis Center for 113 114 Aquatic Biology and Aquaculture in January 2017. Embryos were immediately transferred to their rearing treatments in one of four replicate 191 square culture buckets. Embryos were held in 115 floating mesh baskets affixed with plastic dividers creating individual wells to keep embryos 116 117 separated in an even layer. Embryos from all four families were evenly distributed across each replicate bucket. Once alevins could sustain swimming, the baskets were removed from the 118 culture buckets. Since early developmental stages rely on endogenous yolk reserves (Kamler, 119 2008), fish were not fed during the experiment. The experiment ended when fish reached the fry 120 stage and nearly all of the yolk sac was absorbed. All fish care and protocols were reviewed and 121 122 approved by the UC Davis Institutional Animal Care and Use Committee (protocol no. 19593).

123 Experimental Design

124 To assess the effects of elevated temperature and decreased oxygen as individual and combined stressors, we reared developing Chinook salmon from fertilization to the fry stage in 125 four treatments in a fully factorial design of two temperatures [10°C (ambient) and 14°C 126 127 (warm)] and two oxygen (O_2) saturation levels [normoxia (100% air saturation, 10 mg O_2/l) and hypoxia (50% saturation, 5.5 mg O₂/l)]. Ambient temperature of 10°C was chosen as this is 128 within the average range of temperatures in the Sacramento River when late fall-run salmon 129 embryos are present (Bureau of Reclamation, Central Valley Operations, Sacramento River 130 Temperature Report). The warm temperature of 14°C was chosen to represent a 4°C increase of 131 water temperatures projected with climate change and is a potentially stressful, but not lethal, 132 temperature because embryo mortality increases around 16°C in Chinook salmon (Myrick and 133

134 Cech, 2004; Williams, 2006). Dissolved oxygen within natural redds can fluctuate widely 135 between 2-11 mg O₂/l (Coble, 1961; Peterson and Quinn, 1996). Normoxia was maintained at 100% saturation to represent optimal habitat conditions and 50% was chosen as a moderate level 136 137 of hypoxia that is potentially stressful, but not lethal (Silver et al., 1963). Two different temperature treatments were maintained by placing culture and reservoir buckets in four large 138 water bath tanks (1.2 m in diameter) containing flow through water at the corresponding 139 140 treatment temperature. Each water bath (at 10°C or 14°C) held two culture buckets from the normoxia and hypoxia treatments, with two replicate water bath tanks for each temperature (n=4141 142 culture buckets per temperature and O₂ treatment combination). Oxygen saturation was manipulated using mass flow controller valves (Sierra Instruments, Monterey, CA, USA) to mix 143 N₂ gas and air to maintain low DO in hypoxic treatments or air alone for normoxic treatments. 144 The gas mixture was bubbled into reservoir buckets using venturi injectors (one reservoir bucket 145 146 for each temperature \times oxygen treatment). Equilibrated treatment water from each reservoir was then dripped into the culture buckets holding salmon at 16 l/h to ensure high turnover. Gas 147 148 mixtures were also bubbled directly into culture buckets through air stones for further mixing 149 and adjustment of DO levels within each individual bucket. Temperature and DO were measured 150 in each culture bucket, reservoir bucket, and water bath tank daily using a handheld meter (OxyGuard Handy Polaris 2, OxyGuard International, Farum, Denmark), summarized in Table 1. 151 Physiological testing occurred four times during the study period for each treatment. A 152 153 stage-based sampling design was chosen to account for differences in developmental rate caused 154 by the varying temperatures and oxygen saturation levels between treatments. Sampling took place when 50% or more of embryos in a treatment reached 1) eved stage, when dark pigmented 155 156 eyes were clearly visible, 2) silver eyed stage, when silver pigment in eyes was visible, 3) alevin

157 stage larvae, one day after hatching, and lastly 4) fry stage, when the yolk sac was almost 158 completely absorbed. Development of salmon was monitored daily with visual inspections of 159 each culture bucket. Stage was assessed at the treatment level because families were equally 160 distributed among replicates, contributing to minimal variation in developmental timing between replicates. Hatching success was calculated as the ratio between the number of alevins one-day 161 post-hatch and the initial number of embryos per treatment. Upper thermal tolerance was 162 assessed at each stage (eyed, silver-eyed, alevin, and fry) as critical thermal maximum (CTMax), 163 and hypoxia tolerance (time to loss of equilibrium) was tested for fry only. At the alevin and fry 164 165 stages total length and mass were recorded.

166 Determination of Upper Thermal Tolerance

Acute upper thermal tolerance was measured using critical thermal maximum (CTMax)
methodology (Beitinger *et al.*, 2000; Fangue *et al.*, 2006). The endpoint used to indicate CTMax
differed between embryos and larvae due to the inability of embryos to exhibit loss of

170 equilibrium, a common endpoint for fishes after hatch (Zebral *et al.*, 2018).

171 *Embryos*

Critical thermal maximum for embryos at the eyed and silver eyed stages was defined as the temperature at which the heart stopped beating, similar to Angilletta *et al.*, (2013). CTMax was determined in four embryos per replicate per treatment (16 embryos total). Embryos were placed in individual wells of a divided plastic dish with water at their corresponding rearing temperature. The plastic dish was held in a well of an aluminum block and treatment water was circulated through the aluminum block to maintain treatment temperature. Embryos were given 178 Ih in the dishes before CTMax trials began. Circulating water was then heated using a

submersible heater and YSI Thermistemp Temperature Controller (YSI Incorporated, Yellow
Springs, OH, USA) such that the water temperature in the dish increased at a rate of 0.3°C/min.
Water was aerated using a pipette to ensure full oxygenation and circulation. Embryos were
continuously monitored under a dissecting microscope and CTMax was recorded as the
temperature when the heart was observed to stop beating for more than 30 s.

184 *Larvae and Fry*

For larvae and fry CTMax was determined for four fish per replicate per treatment (16 185 fish total per treatment). The apparatus consisted of a 37l aquarium containing a water heater 186 187 connected to a YSI Thermistemp Temperature Controller (YSI Incorporated), a submersible pump for circulation, and eight glass chambers suspended in the aquaria. Individual fish were 188 placed in the jars for 1h prior to the start of each trial with water at the corresponding rearing 189 temperature. Eight fish were run at a time and jars were each continuously aerated throughout the 190 CTMax protocol to ensure full oxygenation. After the 1h acclimation the heater was turned on 191 192 and the water temperature increased at a rate of 0.3°C/min. Fish were closely monitored until they reached loss of equilibrium (LOE), defined as the point at which a fish could no longer 193 swim upright or respond to a gentle physical stimulus. Temperature at LOE was recorded with a 194 195 calibrated immersion thermometer (0.1 °C precision, Fisher Scientific), after which individuals were immediately transferred to a fully oxygenated recovery tank with water at their rearing 196 temperature. Temperature at LOE was included in the final dataset if the individual survived a 197 24h recovery period. 198

199 Fry Hypoxia Tolerance

200 Acute hypoxia tolerance of salmon fry was measured using time to loss of equilibrium 201 methodology (Anttila et al., 2015, McBryan et al., 2016). Time to loss of equilibrium was determined for four fish per replicate per treatment (16 fish per treatment). Hypoxia tolerance 202 203 trials were conducted in a 37l aquarium held in a temperature-controlled water bath. The aquarium contained eight floating plastic beakers with mesh sides for individual fry and a 204 submersible pump for water circulation. The water surface within each beaker was covered with 205 206 bubble wrap to prevent surface respiration during trials. The water surface surrounding the beakers was also covered with bubble wrap to prevent diffusion of oxygen into the water during 207 208 trials. DO was monitored throughout the trial using two oxygen dipping probes (PreSens Precision Sensing, Regensburg, Germany). Individual fish were placed in each beaker 30 min 209 prior to the start of the trial to recover from handling. Fish were tested in water at the same 210 211 temperature and DO level as their rearing treatment. In each trial DO of the water was reduced at a rate of 1.5-2%/min from initial oxygen levels (i.e. 100 and 50%) by bubbling in N₂ gas until 212 8% air saturation was reached (0.9 mg O₂/l at 10°C and 0.8 mg O₂/l at 14°C). Oxygen was then 213 214 held at 8% by manually adjusting the flow of N_2 . This final oxygen concentration was chosen based on pilot studies where all fish could maintain equilibrium indefinitely at 10% and there 215 216 was little variation in the rapid time to LOE at 6%. Time to LOE was defined as the time (min) 217 after DO saturation reached 8% until the fish could no longer swim upright or respond to a gentle physical stimulus. Upon achieving LOE fish were immediately transferred to fully oxygenated 218 219 recovery chambers at respective rearing temperatures. Time to LOE for fish that survived a 24h 220 recovery period were included in the final dataset. Each trial was conducted with a maximum trial time of 2h. Fish that maintained equilibrium when the 2h trial ended were assigned a time to 221 222 LOE of 120 minutes and transferred to recovery.

Fish at the alevin and fry stages (n=5 per replicate tank, n=20 total per treatment) were euthanized in tricaine methanesulfonate (MS-222, Western Chemical, Ferndale, WA, USA), weighed, and measured for total length. Alevin mass measurements included the yolk sac. Body condition was used to compare overall size differences between treatment. Fulton's condition factor (K) was calculated as:

$$K = 100 x \frac{W}{L^3}$$

where W is the wet mass in grams and L is the total length of the fish in cm.

231

232 Statistical analyses

233 Statistical analyses were performed using R Studio (v3.3.0, http:// www.R-project.org). Datasets were visually inspected for assumptions of normality and homogeneity of variances 234 235 using Q-Q plots and residuals vs. fitted values. All data were normally distributed and met the 236 assumptions of the tests used unless otherwise noted. Data are reported as means \pm SEM with α 237 set at 0.05. Hatching success, time to LOE under hypoxia, and condition factor were analyzed as dependent variables using a two-way analysis of variance (ANOVA) with temperature and 238 oxygen saturation as fixed factors. Post hoc tests for two-way ANOVA were carried out using 239 240 TukeyHSD. CTMax was analyzed using a three-way ANOVA with temperature, oxygen saturation, and developmental stage as fixed factors. Since different CTMax methodologies were 241 used for embryo stages (cardiac cessation [eved and silver-eved]) and post-hatch stages (LOE 242 [alevin and fry]), a separate ANOVA was conducted for each. Post hoc tests for three-way 243

244	ANOVA were carried out using a Tukey's test ('lsmeans' package, Lenth, 2016). Initial models
245	nested fish within their corresponding replicate treatment buckets; however, with no significant
246	effects, replicate was removed as a factor to reduce models to their simplest form. Condition
247	factor of alevins did not meet assumptions of homogeneity of variance and was log transformed.
248	
249	Results
250	Hatching success
251	Rearing under hypoxia significantly reduced the percentage hatched ($F_{1,12}=37.3$,
252	p<0.001). Percentage hatched was highest for embryos reared in normoxia with ~40% (40.5 \pm
253	2.6) hatching success at 10°C and ~35% (35.1 ± 4.4) hatching success at 14°C (Fig. 1). At 10°C,
254	embryos reared in the hypoxia treatment had 50% lower hatching success compared to the
255	normoxia treatment (19.8% \pm 4.4 vs. 40.5%). Although temperature did not significantly affect
256	hatching success ($F_{1,12}$ =4.19, p=0.06) and there was no significant interaction between
257	temperature and oxygen ($F_{1,12}=0.36$, $p=0.56$), hatching success was lowest in the multiple
258	stressor treatment of hypoxia and 14°C with only ~10% hatched (9.9% \pm 3.4).
259	
260	Upper thermal tolerance
261	Embryos
262	Upper thermal tolerance was highly variable across treatments and development (Fig.
263	2A). There was a significant two-way interaction between temperature and oxygen ($F_{1, 120}$ =8.36,
264	p=0.005). In addition, a significant three-way interaction ($F_{1,120}$ =36.30, p<0.001) between the

265 main effects of temperature ($F_{1, 120}=12.05$, p<0.001), oxygen saturation ($F_{1, 120}=145.44$, p<0.001), and developmental stage (F_{1, 120}=67.1, p<0.001) indicated salmon CTMax was dependent on the 266 life stage and stressors. For example, eved stage embryos reared under hypoxia at both 267 temperatures had the highest thermal tolerance with a CTMax of $30.6^{\circ}C \pm 0.6$ at $10^{\circ}C$ and 268 $30.7^{\circ}C \pm 0.2$ at 14°C. Eyed embryos reared at 14°C in normoxia had the lowest CTMax (27.9°C 269 \pm 0.2) and 10°C normoxia reared embryos had an intermediate thermal tolerance (28.9°C \pm 0.2). 270 Thermal tolerance significantly increased at the silver eyed stage for 10°C hypoxia and 14°C 271 272 normoxia treatments. The 10°C hypoxia treatment had the highest CTMax ($32.8^{\circ}C \pm 0.1$) with both hypoxia treatments again being the most thermally tolerant. Silver eved embryos in the 273 274 10°C normoxia treatment had the lowest CTMax (29.6°C \pm 0.2) and 14°C normoxia was intermediate $(30.4^{\circ}C \pm 0.3)$. 275

276 *Larvae and Fry*

277 There were significant interactions between temperature, oxygen saturation, and developmental stage ($F_{1,111}=7.68$, p=0.007) in the thermal tolerance of the post-hatch alevin and 278 279 fry stages (Fig. 2B). There were significant two-way interactions between temperature and oxygen ($F_{1,111}=29.52$, p<0.001), temperature and stage ($F_{1,111}=15.71$, p<0.001), and oxygen and 280 stage ($F_{1,111}$ =4.89, p=0.029). Temperature had a significant effect on CTMax ($F_{1,111}$ =11.45, 281 p < 0.001) but there was no effect of oxygen saturation (F_{1.111}=0.7, p=0.40) or developmental 282 stage ($F_{1,111}=0.39$, p=0.53). At the alevin stage, the normoxia and hypoxia treatments at 14°C had 283 284 the highest (29.7°C \pm 0.1) and lowest CTMax (28.4°C \pm 0.2), respectively, with the alevins reared at 10°C having intermediate CTMax (29.2°C \pm 0.2 vs. 28.8°C \pm 0.2 for hypoxia and 285 286 normoxia treatments, respectively). Upon reaching the fry stage CTMax significantly increased 287 in only the 14°C hypoxia treatment (increased to $29.3^{\circ}C \pm 0.1$) such that it was no longer

significantly different from the 14°C normoxia treatment. Both 14°C treatments had the highest

289 CTMax, while the 10°C normoxia treatment had the lowest ($28.4^{\circ}C \pm 0.3$) CTMax.

290

291 Fry Hypoxia Tolerance

292 Hypoxia tolerance was only measured at the fry stage, when the fish had absorbed nearly 293 all of the yolk sac. Rearing in hypoxia significantly increased time to LOE ($F_{1.54}=6.49$, p=0.014) while rearing at 14°C significantly decreased time to LOE ($F_{1.54}=91.74$, p< 0.001) (Fig. 3). 294 295 Oxygen and temperature did not significantly interact ($F_{1.54}=0.35$, p=0.56). Fish reared at 14°C in 296 normoxia maintained equilibrium for ~20 minutes (20.4 \pm 3.3) compared to ~36 minutes (36.3 \pm 12) for fry reared at 14°C in hypoxia and ~94.5 minutes (\pm 11) for fry reared at 10°C in 297 298 normoxia. Fry reared at 10°C in hypoxia were the most tolerant to hypoxia and all maintained equilibrium indefinitely during the 2-h trial period at 8% air saturation (120 min). 299 300

301 Growth

Alevins reared in hypoxia had a significantly higher Fulton's condition factor 302 $(F_{1,75}=37.51, p<0.001)$ compared to alevins reared under normoxic conditions (Fig. 4). There was 303 no significant interaction between temperature and oxygen on alevin condition factor ($F_{1,75}=0.39$, 304 305 p=0.53). Upon reaching the fry stage there were no significant differences in condition factor between treatments. Temperature ($F_{1,70}=1.004$, p=0.32) and oxygen saturation ($F_{1,70}=0.44$, 306 p=0.51) did not significantly affect Fulton's condition factor, although there was a significant 307 308 interaction between the two stressors ($F_{1,70}=7.62$, p=0.007) (Fig. 5), where warming decreased condition factor in hypoxia-reared fish. 309

310

311 Developmental Rate

Developmental rate was assessed at the treatment level because there was very little variation between replicate buckets within a treatment. Fish developed faster at 14°C (Table 2). Under normoxia, fish reared at 14°C reached each stage 7-10 days before fish reared at 10°C. Rearing in hypoxia further delayed development within each temperature. At 14°C rearing in hypoxia delayed development by 4-6 days depending on the stage, although hypoxia-reared fish hatched just one day after normoxia-reared fish. At 10°C fish reared in hypoxia reached each stage 4-10 days later than in normoxia, depending on the stage.

319 Discussion

320 This study investigated how Chinook salmon development is influenced by the 321 interaction between warming and hypoxia, two common stressors in salmonid rearing environments that can co-occur. Acclimation to elevated temperature and hypoxia improved 322 323 acute thermal tolerance and hypoxia acclimation also improved tolerance to acute hypoxic stress. Despite improved physiological performance with chronic rearing under elevated temperature 324 325 and hypoxia, hypoxia reduced early growth and hatching success, especially in combination with 326 warming. Reduced growth and hatching success could lead to detrimental effects at the population level as climate change progresses. 327

328 Hatching success

The hatching process in fish embryos is a critical period during development and is strongly influenced by both temperature and oxygen (Yamagami, 1988; Korwin-Kossakowski, 2012). In the present study, warm temperature alone minimally reduced hatching compared to 332 controls, which is not surprising given that California Central Valley Chinook salmon embryos 333 can tolerate temperatures up to 16°C (Myrick and Cech, 2004; Williams, 2006). Fish embryos are particularly susceptible to low DO in their environment during the critical period of hatching 334 335 (Dudley and Eipper, 1975; Keckeis et al., 1996). Here, rearing in hypoxia markedly reduced hatching success by ~50-75% at 10°C and 14°C, respectively (Fig. 1). The majority of this 336 mortality occurred within a day or two of the mean hatch date for a given treatment, consistent 337 with observations in hypoxia-reared lake trout (Garside, 1959; Carlson and Siefert, 1974) and 338 largemouth bass (Dudley and Eipper, 1975). The mortality observed at hatch often occurred in 339 340 partially hatched embryos where individuals were able to free their heads from the chorion but were unable to fully escape, suggesting the physical process of hatching was more challenging in 341 hypoxia. 342

Hatching is an energetically costly process due to increased movement and oxygen 343 consumption (Hamor and Garside, 1959; Ninness et al., 2006). With a limited capacity for 344 anaerobic metabolism in embryos (Rombough, 2011), hatching may increase aerobic energy 345 346 demand to a level that cannot be matched by energy supply under hypoxic conditions 347 (Polymeropoulos et al., 2016). Warmer water temperatures increase the metabolic rate, and thus oxygen demand, of embryos. Combined with the additional energy required for hatching, the 348 mismatch between energy supply and demand may have been greatest in the multiple stressor 349 treatment of 14°C hypoxia, which had the lowest hatching success (Fig. 1). Of note, embryos 350 351 reared in normoxia at 10°C had an unexpectedly low hatching success rate for control conditions $(\sim 40\%)$. The overall low percentage hatched was likely influenced by unusually high mortality 352 observed in one family of embryos, possibly due to poor embryo quality. 353

354 *Upper thermal tolerance*

355 Many fish species have some degree of plasticity in thermal tolerance (Beitinger *et al.*, 356 2000), such that upper thermal tolerance commonly increases with acclimation to warmer temperatures (e.g. Healy and Schulte, 2012; Anttila et al., 2015). In the present study, the effects 357 358 of warming were largely dependent on developmental stage. Consistent with results from other studies of warm acclimation in fishes, alevins and fry reared at 14°C under normoxia had the 359 highest CTMax. In contrast to what would be predicted, eyed embryos (the first stage measured) 360 reared at 14°C in normoxia had a lower CTMax than the equivalent stage reared at 10°C in 361 normoxia (Fig. 2). The 10°C normoxia treatment consistently had the lowest or second lowest 362 363 CTMax in both the embryonic and post-hatch stages when comparing all the treatment groups, as expected with acclimation to a lower rearing temperature. Thermal tolerance is often life stage 364 specific (Komoroske *et al.*, 2014), particularly in fishes that occupy different habitats throughout 365 366 development such as Pacific salmon (McCullough, 1999; Richter and Kolmes, 2005). Salmon embryos develop in cold streams and are therefore likely to be more sensitive to warming at this 367 stage. Embryos at 14°C may have been near their thermal limit, such that they were less able to 368 369 allocate energy to stress tolerance mechanisms to the extent that other treatments could.

Oxygen limitation of thermal tolerance hypothesizes that CTMax will be lower when 370 exposed to environmental hypoxia. Consistent with OCLTT, reduced upper thermal tolerance 371 following acclimation to hypoxia has been observed in many studies (e.g. Rutledge and 372 Beitinger, 1989; Healy and Schulte, 2012; Ellis et al., 2013). In contrast, the CTMax of 10°C 373 374 hypoxia-reared embryos and larvae in the present study were consistently higher than the 375 CTMax of 10°C normoxia-reared fish at all developmental stages. The 10°C hypoxia-reared embryos were surprisingly thermally tolerant with the highest CTMax. Alevins and fry reared at 376 377 10°C in hypoxia maintained a higher CTMax compared to 10°C normoxia reared fish, but had a

lower CTMax than fry reared at 14°C in either oxygen treatment suggesting a stronger effect of 378 379 acclimation temperature on the thermal tolerance of post-hatch stages. There is mixed support of 380 the OCLTT hypothesis. In some cases, CTMax is independent of oxygen availability, unaffected 381 by chronic hypoxia, or species-specific in relation to hypoxia (e.g. Ern et al., 2016; Motyka et al., 2017; Verberk et al., 2018). CTMax can be maintained in moderate levels of hypoxia, such 382 383 as those maintained in this study, even in stenothermal species (Ern et al., 2017); however, the improvement of CTMax with acclimation to hypoxia as observed in the present study is 384 unexpected. 385

386 The multiple stressor treatment of 14°C hypoxia had a relatively high CTMax throughout 387 development with the exception of the alevin stage. Alevins reared under conditions of elevated temperature and reduced oxygen levels had the lowest CTMax at that stage. Low CTMax might 388 389 reflect recovery from hatching one day prior to the upper thermal tolerance trials because the 390 lowest hatching success was observed in this multiple stressor treatment. The improved upper thermal tolerance observed with hypoxic acclimation at 10°C appeared to be limited at 14°C in 391 the silver-eyed, alevin, and fry stages. While the multiple stressor treatment maintained a high 392 thermal tolerance, it did not consistently exceed the CTMax of 14°C normoxia-reared fish at 393 394 these stages in the way 10°C hypoxia-reared fish had a higher CTMax than 10°C normoxiareared fish at each stage. Additionally, CTMax did not increase from the eyed to silver-eyed 395 stages at 14°C under hypoxia as all other treatments did, suggesting this treatment was near the 396 397 fish's thermal limit. Mechanisms to cope with hypoxia include adjustments to increase oxygen uptake at the gills and improve transport to increase the supply of oxygen to tissues, as well as 398 reductions in metabolic rate to decrease oxygen demand (Miller et al., 2008; Richards, 2009; 399 400 Polymeropoulos et al., 2016). Since upper thermal tolerance can benefit from improved oxygen

401 delivery, the mechanisms underlying long-term acclimation to hypoxia can also maintain or 402 improve thermal tolerance (Burleson and Silva, 2011; Motyka et al., 2017). It should be noted that all CTMax trials were conducted in normoxic conditions, so embryos acclimated to hypoxia 403 may have been more thermally tolerant in part because of an increased availability of oxygen 404 during the CTMax trials, compared to acclimation conditions. Although the exact mechanisms 405 406 leading to improved CTMax under acclimation to warming and hypoxia were not examined here, it is likely that physiological adjustments to rearing in hypoxia could be responsible for increased 407 upper thermal tolerance. 408

409 Hypoxia tolerance in fry

Within the OCLTT framework elevated temperatures are predicted to decrease tolerance 410 to acute hypoxia (McBryan et al., 2013). Consistent with the OCLTT, the time to loss of 411 equilibrium in hypoxia was significantly shorter in fish reared at 14°C compared to 10°C. 412 indicating reduced hypoxia tolerance with warming (Fig. 3). Lower hypoxia tolerance at warmer 413 414 temperatures has been observed in many other studies (Nilsson *et al.*, 2010; Barnes *et al.*, 2011; Remen et al., 2013; McDonnell and Chapman, 2015; Borowiec et al., 2016), although it varies 415 by species (e.g. He *et al.*, 2015). Higher temperatures are thought to reduce hypoxia tolerance by 416 417 increasing metabolic rates and in turn, oxygen demand (Pörtner, 2010), and may also decrease the oxygen binding affinity of hemoglobin, thereby reducing oxygen supply (McBryan et al., 418 2013). Further study on early stages of Chinook salmon are needed to better understand 419 420 mechanistically how warming is reducing hypoxia tolerance in fry and whether this is consistent at other developmental stages. 421

422 Rearing in hypoxia improved tolerance to acute hypoxia at both temperatures compared423 to the normoxia treatments. The combination of low temperature and hypoxia resulted in the

424 highest tolerance to hypoxia as the fish in the 10°C hypoxia treatment did not lose equilibrium 425 within the 2-h trial period. In contrast, the 14°C normoxia treatment had the lowest tolerance to hypoxia suggesting that, although not statistically significant, acclimation to elevated 426 427 temperature and hypoxia interact to influence hypoxia tolerance. Improvement of hypoxia tolerance following short-term (24-48 h) exposure to hypoxia has been observed in zebrafish 428 (Rees et al., 2001), spot and Atlantic menhaden (Shimps et al., 2005), and goldfish (Fu et al., 429 2011), while longer acclimation periods of 4-6 weeks improved hypoxia tolerance in sailfin 430 molly (Timmerman and Chapman, 2004), but not Atlantic cod (Petersen and Gamperl, 2010) or 431 432 Atlantic salmon (Remen et al., 2013). Acclimation to hypoxia can involve a number of mechanisms such as improved oxygen uptake and transport through changes in gill morphology, 433 concentration of red blood cells and hemoglobin, as well as alterations to cellular energy 434 435 metabolism (Farrell and Richards, 2009; Borowiec et al. 2015). Our results suggest that Chinook salmon fry also have the capacity to acclimate to hypoxia during chronic exposure, although the 436 degree of improved hypoxia tolerance is temperature dependent. 437

438 Growth and development

Reduced growth and delayed development in hypoxia are compensatory responses where 439 440 metabolic demand is adjusted to match the oxygen supply available (Rombough, 1988a). Despite having higher condition factor, hypoxia-reared alevins were smaller due to less body tissue 441 length and more yolk retained at the time of hatch (Fig. 4), similar to observations by 442 Polymeropoulos et al., (2017) in hypoxia-reared Atlantic salmon. A reduction in size of post-443 hatch hypoxia-reared larvae has been observed in many other studies (Alderdice *et al.*, 1958; 444 Garside, 1959; Shumway et al., 1964; Marks et al. 2012). Growth is the most energetically 445 demanding activity in early embryonic development and is almost entirely dependent on aerobic 446

447 metabolism (Rombough, 2011). While the ecological significance of size at hatch is difficult to 448 determine, alevins that are smaller at hatch may have lower chances of survival due to size selective predation pressure, decreased competitive ability, and slower swimming speeds 449 450 (Mason, 1969; Pepin, 1991). Given the challenges of predicting the effects of size on survival, size is best considered alongside performance (Conover and Schultz, 1997; Green and Fisher, 451 2004). Although hypoxia reared alevins were smaller, they had higher or comparable CTMax 452 453 throughout development and higher hypoxia tolerance as fry. Despite their smaller size, the 454 physiological performance of hypoxia reared larvae was not hindered. Upon reaching the fry stage there were no significant differences in condition factor between treatments (Fig. 5). 455 However, it took hypoxia reared fry 6-10 days longer to reach the fry stage and fully absorb the 456 yolk sac. 457

458 Developmental rate in fish embryos is highly dependent on both temperature and DO in 459 the rearing environment (Murray and McPhail, 1987; Beacham and Murray, 1990). Decreased temperature leads to slower development in many fish species (Pepin, 1991; Green and Fisher, 460 2004). As expected in the present study, development was delayed by 7-10 days in 10°C 461 normoxia compared to 14°C normoxia (Table 2). Low oxygen is also known to further delay 462 463 development (Garside, 1966). Consequently, rearing in hypoxia delayed development at both temperatures compared to rearing in normoxia. The developmental delay increased from 4-5 464 days during the embryonic stages to 6-10 days to reach the post-hatch stages, as in Geist et al., 465 (2006), with the exception of the 14°C hypoxia treatment time to hatch. 466

Low oxygen can have two opposite effects on time to hatch (Carlson and Siefert, 1974;
Ciuhandu *et al.*, 2005; Hassell *et al.*, 2008), both of which appear to have occurred in this study,
dependent on acclimation temperature. Hypoxia slows the overall rate of development extending

470 the time to hatch as with the 10°C hypoxia treatment hatching 6 days after the 10°C normoxia 471 treatment. However, hypoxia can also reduce the time to hatch. As embryonic development 472 progresses, metabolic rate increases until ambient oxygen can no longer meet metabolic oxygen 473 demand (Rombough, 1988b). Low oxygen is an important natural signal to hatch in fish embryos (Czerkies et al., 2001) and acute hypoxia can trigger hatching in mature embryos (Oppen-474 Berntsen et al., 1990). Thus, hypoxia can also trigger premature hatching when oxygen becomes 475 476 limited before embryos are fully developed (DiMichele and Powers, 1984; Latham and Just, 1989). Given the increased metabolic demand at 14°C, early oxygen limitation may explain why 477 478 embryos reared at 14°C in hypoxia hatched just one day after those in 14°C normoxia when the 479 hypoxia treatment reached all other stages multiple days later. Similarly, precocious hatching 480 resulting from acute hypoxia exposure was greatest at high temperature in whitefish embryos 481 (Czerkies et al., 2001).

482

483 **Conclusions**

Late fall-run Chinook salmon in the Central Valley of California are listed as a Species of 484 Concern under the federal Endangered Species Act and occupy some of the same river habitat as 485 threatened and endangered Chinook salmon runs (i.e. threatened spring-run and endangered 486 487 winter-run). Survival of wild Central Valley salmon embryos can be highly variable but is generally low, with average egg to fry survival likely in the tens of percent (Williams, 2006). A 488 489 further decrease in hatching success resulting from hypoxia, as demonstrated in this study, could potentially have large impacts on population size as a whole if hypoxia is widespread throughout 490 the rearing habitat. Martin et al. (2017) suggested interactions between high temperatures and 491 low dissolved oxygen contributed to high embryo mortality in winter-run Chinook salmon, an 492

493 endangered run with a population of less than 1,000 estimated to be in the Sacramento River494 during the 2017 spawning season (Azat, 2018).

495 The delayed developmental rate in hypoxia may have larger phenological consequences 496 as there may be selection against late emerging salmon (Einum and Fleming, 2000). For fish that do survive hatching in hypoxia there is a potential tradeoff between a smaller size at hatch and 497 498 being more tolerant to acute thermal and hypoxic stressors. Smaller salmon may be more vulnerable to predation in the Sacramento-San Joaquin Delta where predation on juvenile 499 Chinook salmon by abundant native and non-native fish predators is high (Grossman, 2016). 500 501 Salmon reared at high temperature were more thermally tolerant, but less hypoxia tolerant, while 502 hypoxia reared salmon were more tolerant to both temperature and hypoxia compared to normoxia reared fish suggesting a capacity to acclimate to warming and hypoxia during early life 503 stages. The exact mechanisms underlying the acclimation capacity at these early stages, as well 504 505 as the potential for persistent or latent physiological effects of exposure to warming and hypoxia during early development warrant further investigation. 506

507

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513

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Tables

Table 1. Water temperature (°C) was measured daily in each water bath tank and is reported as the average between the duplicate tanks for each temperature treatment (\pm SD). Dissolved oxygen (DO) (mg/l and % saturation) was measured daily in each culture bucket and is reported as the average of the four replicate culture buckets per treatment (\pm SD).

Treatment	Temperature (°C)	DO (mg/l)	DO % Saturation
14°C Normoxia	14.1 ± 0.7	10.1 ± 0.5	98.2 ± 4.2
14°C Hypoxia	14.1 ± 0.7	5.9 ± 3.1	55.3 ± 7.2
10°C Normoxia	10.6 ± 0.9	10.8 ± 0.4	97.5 ± 3.2
10°C Hypoxia	10.6 ± 0.9	5.5 ± 0.8	49.9 ± 7.6

Table 2. Time (days post fertilization) for 50% of individuals or more in each treatment to reach four developmental stages. Development was assessed daily in all replicate culture buckets per treatment.

	Time (days post fertilization) to reach stage				
Treatment	Eyed	Silver Eyed	Post-hatch	Fry	
14°C Normoxia	17	26	35	64	
14°C Hypoxia	21	30	36	70	
10°C Normoxia	25	36	42	75	
10°C Hypoxia	29	41	48	85	

Figure legends

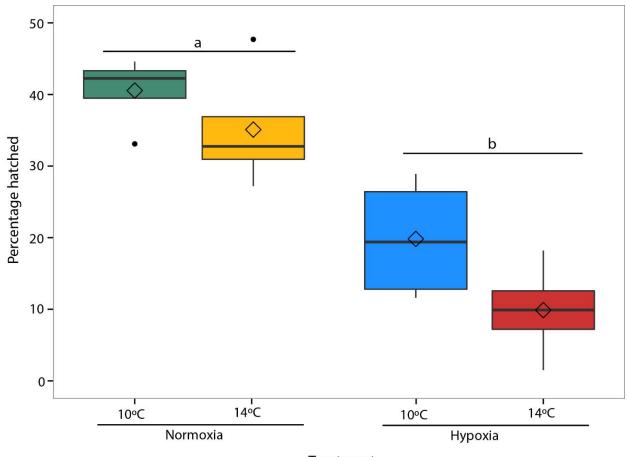
Figure 1. Hatching success measured as percentage hatched in each treatment (10°C Normoxia [green], 10°C Hypoxia [blue], 14°C Normoxia [yellow], and 14°C Hypoxia [red]). The center line of the boxplots represents the median, the box represents the inter-quartile range (IQR), the whiskers extend 1.5 times IQR, black points represent values outside 1.5 the IQR, and diamonds represent the mean. Letters indicate a significant (p<0.05) differences between dissolved oxygen treatments.

Figure 2. Critical thermal maximum (CTMax) throughout development in four rearing treatments: 10°C Normoxia (green circle), 10°C Hypoxia (blue square), 14°C Normoxia (yellow), and 14°C Hypoxia (red diamond). Average CTMax \pm 95% confidence is given for n=16 individuals per treatment at each developmental stage. Within each panel CTMax is defined as A) the temperature at which the heart beat stopped (embryonic stages, eyed and silver eyed) and B) the temperature at which equilibrium was lost (larval stages, alevin and fry). Letters indicate significant (p<0.05) differences between treatments within a given developmental stage. Asterisks indicate significant (p<0.05) differences between developmental stages within a single treatment.

Figure 3. Acute hypoxia tolerance of fry was measured as the time (min) until fish lost equilibrium while held at 8% dissolved oxygen saturation. A total of n=16 individuals per treatment (10°C Normoxia [green], 10°C Hypoxia [blue], 14°C Normoxia [yellow], and 14°C Hypoxia [red]) were tested. Each test was conducted at the temperature fish were reared at and began at the dissolved oxygen saturation of the corresponding treatment. The center line of the boxplots represents the median, the box represents the inter-quartile range (IQR), the whiskers extend 1.5 times IQR, black points represent values outside 1.5 the IQR, and diamonds represent the mean. Letters indicate significant (p<0.05) differences between treatments.

Figure 4. Fulton's condition factor in post-hatch alevins was calculated as the relationship between mass and length in n=20 individuals per treatment (10°C Normoxia [green], 10°C Hypoxia [blue], 14°C Normoxia [yellow], and 14°C Hypoxia [red]). The center line of the boxplots represents the median, the box represents the inter-quartile range (IQR), the whiskers extend 1.5 times IQR, black points represent values outside 1.5 the IQR, and diamonds represent the mean. Letters indicate a significant (p < 0.05) difference between the main effects of dissolved oxygen (Normoxia, and Hypoxia).

Figure 5. Fulton's condition factor in fry was calculated as the relationship between mass and length in n=20 individuals per treatment. The center line of the boxplots represents the median, the box represents the inter-quartile range (IQR), the whiskers extend 1.5 times IQR, black points represent values outside 1.5 the IQR, and diamonds represent the mean. Letters indicate significant differences between treatments (10°C Normoxia [green], 10°C Hypoxia [blue], 14°C Normoxia [yellow], and 14°C Hypoxia [red]).



Treatment

Figure 1

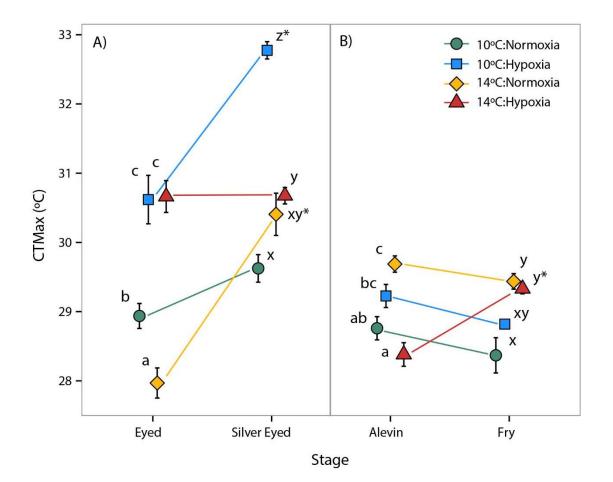


Figure 2

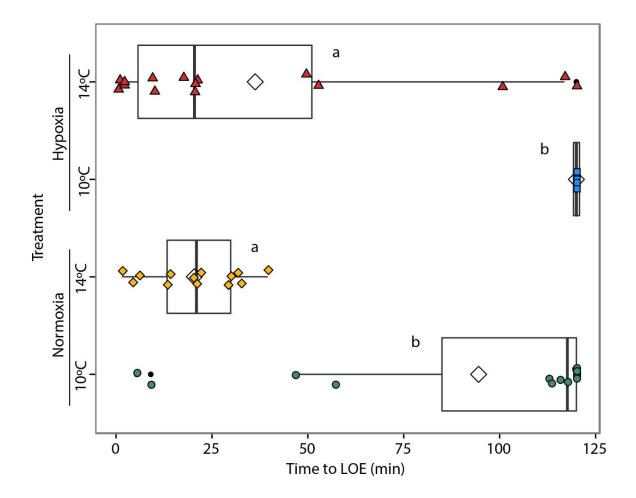


Figure 3.

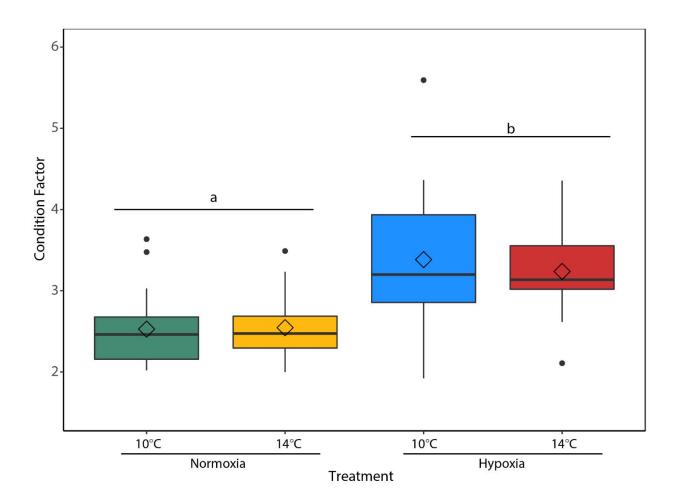


Figure 4.

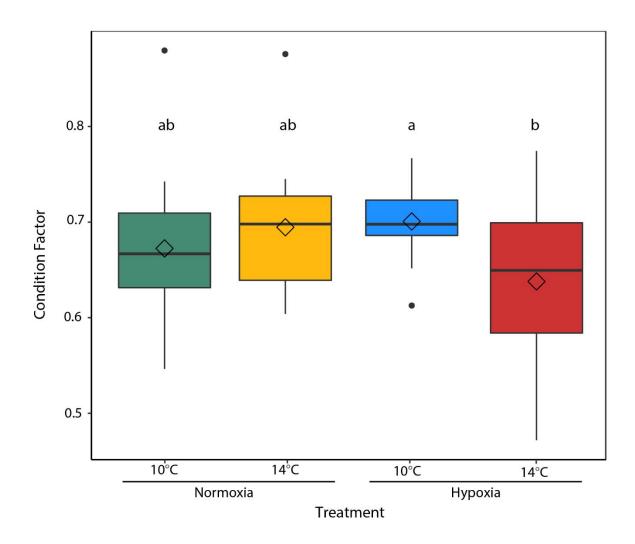


Figure 5.